



ReSPA
Regional School
of Public Administration

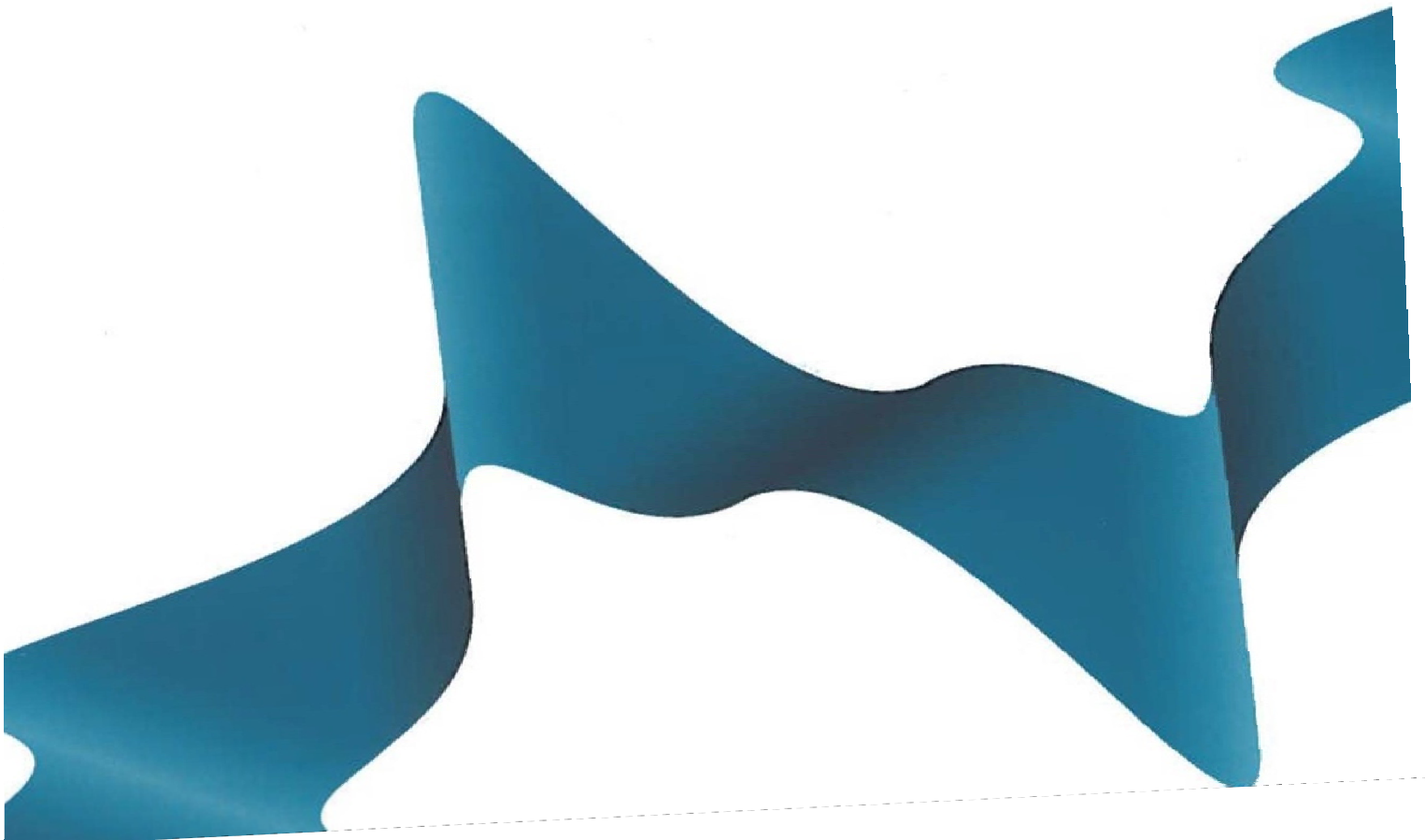
ReSPA Activities are
Financed by the EU



Workshop on Budget Support for civil servants from Albania

13- 15 December 2016, Danilovgrad (Montenegro)

PROVISIONAL AGENDA



Objectives

The objectives of the training is to ensure that the participants develop a practical understanding of sector approach in the context of IPA funding and know how to design and implement budget support in an effective way.

Target Group

This course targets participants from National IPA Coordinator Office (NIPAC), Ministry of Finance and relevant line ministries given the sector that will be subject of budget support operations (Ministry of Social Welfare, Ministry of State for Local Issues, Ministry of State for Public Administration and Innovation, Ministry of Transport and Infrastructure Technical Secretariat of Water, Ministry of Economy and Ministry of Justice).

Pre-requisites

Previously to starting the workshop, it is expected that participants will have read the Budget Support Guidelines and its annexes, and will have shared the chosen Sector Planning Document (SPD) and answered a short questionnaire prior to the course to assess participants' knowledge on general issues related to the Budget Support and the Sector Reform Contract as an instrument used under IPA.

Learning outcomes

The **expected learning outcomes** for the trainees that participate during the entire duration of the course are:

- **Knowledge:**
 - A clear understanding of SBS: principles, objective, requirements for demonstrating eligibility, requirements for policy dialogue and capacity development (accompanying measures).
 - Knowledge of the expected benefit of SBS through an analysis of its intervention logic and how the required policy dialogue will impact the sector
 - A practical understanding of the design considerations to ensure successful implementation
 - A practical understanding of the sector monitoring and performance assessment requirements for eligibility and disbursement;
- **Skills**
 - o To be able to prepare a roadmap to design an SRC
 - o To be able to design a Sector Reform Contract (SRC) : tranches, conditions and indicators of performance that support the objective pursued by the SRC.
 - o To be able to specify the indicators
 - o To practice policy dialogue, understanding how the sector ministry and ministry of finance will engage with the EU and other donors on policy dialogue and the manner in which policy dialogue is supported by monitoring.

- **Mindset**
 - o to be confident with the SBS tool
 - o to be confident with own capacity to use it

The following are the main themes that should be treated during the workshop.

- Theme 1: Sector Budget Support principles and challenges
- Theme 2: Sector budget support design (fundamentals, grounding the choice of indicators in context and risks analysis)
- Theme 3: Developing an intervention logic and elaborating the performance framework
- Theme 4: Sector budget support implementation - using indicators for monitoring – eligibility and for performance assessment-disbursement
- Theme 5: Sector budget support implementation – a simulation

For each theme, there are very strong linkages between the content of the approach to budget support and the implications for the administration in terms of preparation, internal coordination and dialogue (internal and with EU). The table thus sets out these linkages, showing for each of the themes the 'course content', the main concepts that will be treated during the presentation, the participants' skills that are expected to be developed on this basis and the focus of the activities that are proposed to apply the concepts and skills learned.

Workshop's trainers:

The workshop will be held by two trainers: Mr. Jérôme Dendura and Ms. Nathalie Gasnier. The two trainers have a long working experience in capacity building programmes in the area of budget support, project management and programming.

Logistics:

Please, note that during the workshop days will be served:

Coffee/Tea: 11:00 – 11:15 and 15:30 – 15:45

Lunch: 13:00 – 14:00

DAY 1 - Tuesday, 13 December 2016

DAY 1	9:00-11:00	11:15-13:00	14:00-15:30	15:45-17:30
	Theme 1: Principles and challenges	Theme 2: Sector budget support design (fundamentals, grounding the choice of indicators in context and risks analysis)	Theme 2: Sector budget support design (fundamentals, grounding the choice of indicators in context and risks analysis)	Theme 2: Sector budget support design (fundamentals, grounding the choice of indicators in context and risks analysis)
	<p><i>Introducing the course and its logistic: mixing training and practical exercises.</i></p> <p><i>Warm-up group interaction</i></p> <p>Recall of principles of Sector Approach and analysis implications for programming – 15mn: the SPD, its content and purpose</p> <p>Budget Support introduction – 30mn : concepts (definition, types of BS with explanation on the focus on SBS), objective, eligibility criteria, policy dialogue, monitoring, capacity development, and risk management. Overview of BS design considerations</p> <p>Key lessons from budget support evaluations – 30mn: what can be achieved with BS, conditions for success and potential benefits of budget support in accession countries</p> <p>Implications for Line Ministries – 15mn: the principal-agent relationship, design and monitoring implications, the role of line ministries</p>	<p>Activity 1- The Employment sector and possible roadmap. Agree on current state of the sector using SPD, gaps and what needs to be in place to allow BS – 1h30 hour group work</p>	<p>Key issues for BS design – 1h30: Requirements for designing a BS, strategic choices, structuring tranches (fixed and variable), conditions (general and specific) and indicators to support the objective, duration, volume, assessment planning.</p> <p>Recall (on need basis)</p> <p>Eligibility criterion 1 on Macro-economic stability: key issues for macroeconomic stability in general and in Albania</p> <p>Eligibility criteria 2 and 4 on Public Finance Management Reform and Transparency: Key issues and approach in Albania Implications for line ministries</p> <p>Eligibility criterion 3 on Sector Policy: What is Policy/sector Policy? Key issues for eligibility assessment and complementary capacity development assessment</p>	<p>Activity 2- Design an SRC: conditions, performance framework and disbursement (timing of indicators' review, assessment, weight)</p> <p>Group work: Basis for designing a budget support operation 1h30: determine assessments required for BS in the sector, compare to existing assessment, linking assessments to programme design</p>

DAY 2 - Wednesday, 14 December 2016

	9:00-11:00	11:15-13:00	14:00-15:30	15:45-17:30
	Theme 3: Developing an intervention logic and elaborating the performance framework	Theme 3: Developing an intervention logic and elaborating the performance framework	Theme 3: Developing an intervention logic and elaborating the performance framework	Theme 3: Developing an intervention logic and elaborating the performance framework
	<p>Topics 20mn: What is a result-based management approach? What is its impact over the way we design our interventions?</p> <p>Topics 30mn: What is intervention logic? How does it fit with the sector's one? Explaining the construction of intervention logic, its linkages with the Logical Framework Matrix (LFM) and the SBS specificities</p> <p>Topics 30mn: linking context assessment to the intervention logic to map out the risks and assumptions. Understanding what are the risks of policy failure and how they affect an intervention</p>	<p>Topics - 1hour: Identifying and defining indicators, ensuring indicators measure progress towards the outputs and outcomes; indicators' quality; aggregation of data and disaggregation of indicators; sources and calculation methods; baselines, targets (milestones); data collection and validation.</p>	<p>Activity 3- Design an SRC: design an SBS IL using the policy, the SPD, risks, assumptions and proposing indicators</p> <p>Group work 1-designing the IL- 1hour</p> <p>Group work 2-assessing risks and assumptions (and reviewing the IL)- 30 min</p>	<p>Group work 2-assessing risks and assumptions (and reviewing the IL)- 30 min</p> <p>Group work 3-selecting and specifying the indicators (and reviewing the IL)- 1hour</p>

DAY 3 - Thursday, 15 December 2016

DAY 3	9:00-11:00	11:15-13:00	14:00-15:30	15:45-17:30
	Theme 4: Sector budget support implementation - using indicators for monitoring – eligibility and for performance assessment-disbursement	Theme 4: Sector budget support implementation - using indicators for monitoring – eligibility and for performance assessment-disbursement	Theme 5: Sector budget support implementation – a simulation	Theme 5: Sector budget support implementation – a simulation
	<p>Topics: 1h</p> <p>What are the criteria monitored and evaluated? Why do we do it? Boundaries: indicators, monitoring, evaluation. Policy performance framework and sector strategic monitoring.</p>	<p>Group work – 1h30: agree on what monitoring indicates, and eligibility to pursue SBS implementation, calculate disbursement (fixed tranche), finalise the simulation</p>	<p>Policy Dialogue – 30mn: What should the dialogue be about? Policy/reform achievements, SAA dialogue, impact of capacity development, information/feedback from external scrutiny agents (CSOs, private sector, other donors, SAI, Parliament)</p> <p>Group work 1 - 1h: agree on performance, calculate disbursement (variable tranche),</p>	<p>Group work 2 (30 min): finalise the simulation</p> <p>Final Debrief (1h): Based on the three days, and the game and the simulation done, work group to analyse the expected benefits of SA & SBS, the required changes in the sector ministries and ministry of finance work to engage with EU on SBS and the risks involved for Albania. Mapping the key steps to take towards readiness for SBS in the selected sectors</p> <p>Evaluation and Diploma (30mn)</p>